| PTO/SB/08   | a (08-03 |
|---|----------|
| Approved for use through 07/31/2006, OMB 0  |          |
| U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COL  | MMERC    |
| Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control | i numb   |
|   |          |

| INCORMATION DISCUSSION  |   |   | Applic                       | Application Number  |  |                     | 11389961   |  |  |   |    |
|---|---|---|------------------------------|---------------------|--|---------------------|------------|--|--|---|----|
|   |   |   | Filing                       | Filing Date         |  |                     | 2006-03-27 |  |  |   |    |
| INFORMATION DISCLOSURE  |   |   |                              | First I             | First Named Inventor G Ca  |                     | ascaval    |  | 1-   |   |    |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) |   |   | Art U                        | nit                 |  |                     | 2113       |  |  |   |    |
|   |   |   | Exam                         | Examiner Name       |  |                     |            |  |  |   |    |
|   |   |   |                              | Attorr              | ney Doc  | ket Numb            | er         | CA920060019U                                       | 51   | -   |    |
|   |   |   | _                            | •                   |  |                     |            |  |  |   |    |
|   |   |   |                              |                     |  |                     |            |  |  |   |    |
|   |   |   |                              |                     | U.S.   | PATENTS             |            |  |  |   |    |
| Exa miner   Cite   Patent Number   Kind   Code1               |   | Issue [                                 | Issue Date of cited Document |                     | Pages, Columns, Lines where<br>Relevant Passages or Relevant<br>Figures Appear |                     |            |  |  |   |    |
|   | 1   |   |                              |                     |  |                     |            |  |  |   |    |
| If you wis  | h to a  | dd additional U.S. Pate                 | nt citatio                   | n inform            | ation pl   | L.<br>lease click   | the A      | add button.  |  |   | _  |
|   |   |   | U.S.P                        | ATENT               | APPLI  | CATION P            | UBLI       | CATIONS  |  |   |    |
| Examiner<br>Initial*  | Cite<br>No  | Publication Number                      | Kind<br>Code <sup>1</sup>    | Publication<br>Date |  | Name of of cited D  |            | ntee or Applicant<br>nent                          | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |   |    |
|   | 1   |   |                              |                     |  |                     |            |  |  |   |    |
| If you wis  | h to a  | dd additional U.S. Publi                | shed Ap                      | plication           | n citatio  | n informati         | on ple     | ease click the Add                                 | butto  | on.   |    |
|   |   |   |                              | FOREI               | GN PAT   | ENT DOC             | UME        | NTS  |  |   |    |
| Examiner<br>Initial*  | Cite<br>No  | Foreign Document<br>Number <sup>3</sup> | Country<br>Code <sup>2</sup> |                     | Kind<br>Code4  | Publication<br>Date | n /        | Name of Patentee<br>Applicant of cited<br>Document | e or   | Pages,Columns,Lines<br>where Relevant<br>Passages or Relevant<br>Figures Appear | -c |
|   | 1   |   |                              |                     |  |                     |            |  |  |   |    |
| If you wis  | h to a  | d additional Foreign P                  | atent Do                     | cument              | citation   | informatio          | n ple      | ase click the Add                                  | butto  | n   |    |
|   |   |   | NON                          | I-PATEI             | NT LITE  | RATURE              | DOC        | UMENTS   |  |   |    |
| Examiner<br>Initials*   | Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. |   |                              |                     |  | T5                  |            |  |  |   |    |

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

| Application Number Filing Date |      | 11389961       |  |
|--------------------------------|------|----------------|--|
|                                |      | 2006-03-27     |  |
| First Named Inventor           | G Ca | escaval        |  |
| Art Unit                       |      | 2113           |  |
| Examiner Name                  | 1    |                |  |
| Attorney Docket Number         |      | CA920060019US1 |  |

| /C.K./   | 1<br>L  | V. Baile et al, A TRANSPARENT DYNAMIC OPTIMIZATION SYSTEM, Proc. of the ACM SIGPLAN 2000 Conf. on Prog. Language Design and Impl. pgs. 1-2, 6/2000              |                 |            |  |  |  |  |
|--|---|---|-----------------|------------|--|--|--|--|
| /C.K./   | 2   | E. Duesterwald et al, SOFTWARE PROFILING FOR HOT PATH PREDICTION: LESS IS MORE, ACM SIGPLAN Notices, 35(11), pgs. 202-211, 2000                                 |                 |            |  |  |  |  |
| /C.K./   | 3   | D. Bruening, et al, AN INFRASTRUCTURE FOR ADAPTIVE DYNAMIC OPTIMIZATION, Proc. of the 1st Intl. Symp. on Code Generation and Optimization, pgs. 265-275, 3/2003 |                 |            |  |  |  |  |
| /C.K./   | 4   | WK. Chen et al, A DYNAMIC OPTIMIZATION SYSTEM, Proc. of the 3rd ACMWorkshop on Feedback-Directed and Dynamic Optimization (FDDO-3), Dec. 2000                   |                 |            |  |  |  |  |
| /C.K./   | /C.K./ 5 J. C. Dehnert et. el., THE TRANSMETA CODE MORPHING SOFTWARE; USING SPECULATION, RECOVERY, AND ADAPTIVE RETRANSLATION TO ADDRESS REAL-LIFE CHALLENGES, Proc. of the 1st Intl. Symp. on Code Generation and Optimization, pgs. 15-24, 3/2003 |   |                 |            |  |  |  |  |
| If you wish to add additional non-patent literature document citation information please click the Add button  |   |   |                 |            |  |  |  |  |
|  |   |   | RSIGNATURE      |            |  |  |  |  |
| Examiner   | Signa   | ture /Chuck Kendall/  | Date Considered | 10/11/2010 |  |  |  |  |
| *EXAMMER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |   |   |                 |            |  |  |  |  |
| See Kind Codes of USPTO Patent Documents at <a href="https://www.LISPTO.GOV">www.LISPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO   |   |   |                 |            |  |  |  |  |

Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if

English language translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

STATEMENT BY APPLICANT
( Not for submission under 37 CFR 1.99)

| Application Number     |      | 11389961       |   |
|------------------------|------|----------------|---|
| Filing Date            |      | 2006-03-27     | - |
| First Named Inventor   | G Ca | scaval         |   |
| Art Unit               |      | 2113           |   |
| Examiner Name          |      |                |   |
| Attorney Docket Number |      | CA920060019US1 |   |

#### CERTIFICATION STATEMENT

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.58(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection/e)-

None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature Date (YYYY-MM-DD) 5.2.07

Name/Print Libby 2 Handelsman Registration Number 57,721

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing files burden, should be sent to the Chief Individual Cflicer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 30 LyS. C. 2b(C); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandomment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of the second s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 120b or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filled in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.